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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0851-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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03/08/01
1c803 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	500.39830X00
First Inventor or Application Identifier	Hiroshi MORIYA
Title	See 1 in Addendum
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 48]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 12]
4. Oath or Declaration [Total Pages 5]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) filed in prior application (PTO/SB/09-12) ☐ Status still proper and desired
14. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: FIGS. 1-19
Credit Card Payment form

NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	020457	or <input type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar code label here)		

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Gregory E. Montone	Registration No. (Attorney/Agent)	28,141
Signature		Date	03-08-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Attachment to PTO/SB/05 (4/98) Utility Patent Application
Transmittal

1. SEMICONDUCTOR DEVICE WITH ADHESION-IMPROVEMENT CAPACITOR AND
PROCESS FOR PRODUCING THE DEVICE

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PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2000		Complete if Known	
<i>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</i>		Application Number	
		Filing Date	March 8, 2001
		First Named Inventor	Hiroshi MORIYA
		Examiner Name	
		Group / Art Unit	
TOTAL AMOUNT OF PAYMENT	(\$1,820.00)	Attorney Docket No.	500.39830X00

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 01-2135 Deposit Account Name: Antonelli, Terry, Stout & Kraus, LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid
2. <input checked="" type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other	105 130 205 65 Surcharge - late filing fee or oath 0.00
FEE CALCULATION	127 50 227 25 Surcharge - late provisional filing fee or cover sheet. 0.00
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	139 130 139 130 Non-English specification 0.00
101 690 201 345 Utility filing fee 710.00	147 2,520 147 2,520 For filing a request for reexamination 0.00
106 310 206 155 Design filing fee	112 920* 112 920* Requesting publication of SIR prior to Examiner action 0.00
107 480 207 240 Plant filing fee	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 0.00
108 690 208 345 Reissue filing fee	115 110 215 55 Extension for reply within first month 0.00
114 150 214 75 Provisional filing fee	116 380 216 190 Extension for reply within second month 0.00
SUBTOTAL (1) (\$ 710.00)	117 870 217 435 Extension for reply within third month 0.00
2. EXTRA CLAIM FEES Total Claims 15 - 20** = 0 x 18 = 0 Independent Claims 13 - 3** = 10 x 80 = 800 Multiple Dependent 270 = 270	118 1,360 218 680 Extension for reply within fourth month 0.00
**or number previously paid, if greater; For Reissues, see below	128 1,850 228 925 Extension for reply within fifth month 0.00
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	119 300 219 150 Notice of Appeal 0.00
103 18 203 9 Claims in excess of 20	120 300 220 150 Filing a brief in support of an appeal 0.00
102 78 202 39 Independent claims in excess of 3	121 260 221 130 Request for oral hearing 0.00
104 260 204 130 Multiple dependent claim, if not paid	138 1,510 138 1,510 Petition to institute a public use proceeding 0.00
109 78 209 39 ** Reissue independent claims over original patent	140 110 240 55 Petition to revive - unavoidable 0.00
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	141 1,210 241 605 Petition to revive - unintentional 0.00
SUBTOTAL (2) (\$ 1,070.00)	142 1,210 242 605 Utility issue fee (or reissue) 0.00
	143 430 243 215 Design issue fee 0.00
	144 580 244 290 Plant issue fee 0.00
	122 130 122 130 Petitions to the Commissioner 0.00
	123 50 123 50 Petitions related to provisional applications 0.00
	126 240 126 240 Submission of Information Disclosure Stmt 0.00
	581 40 581 40 Recording each patent assignment per property (times number of properties) 40.00
	146 690 246 345 Filing a submission after final rejection (37 CFR § 1.129(a)) 0.00
	149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b)) 0.00
	Other fee (specify) 0.00
	Other fee (specify) 0.00
	SUBTOTAL (3) (\$ 40.00)
	* Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Gregory E. Montone	Registration No. (Attorney/Agent)	28,141
Signature	<i>Gregory E. Montone</i>	Telephone	703-312-6600
		Date	03-08-01

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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